



April 1969

Vol. 35/No. 7

Public Roads

A JOURNAL OF HIGHWAY RESEARCH



U.S. DEPARTMENT OF TRANSPORTATION FEDERAL HIGHWAY ADMINISTRATION BUREAU OF PUBLIC ROADS

Public Roads A JOURNAL OF HIGHWAY RESEARCH

Published Bimonthly

Harry C. Secrest, Managing Editor • Fran Faulkner, Editor

April 1969/Vol. 35, No. 7

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COVER

Interchange between Interstate Highway 485 (entering from left) and Interstate Highway 75 in Atlanta, Ga. A part of the Atlanta business district is visible in the background.

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U.S. DEPARTMENT OF TRANSPORTATION JOHN A. VOLPE, Secretary FEDERAL HIGHWAY ADMINISTRATION

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Public Roads, A Journal of Highway Research, is sold by the Superintendent of Documents, Governmen Printing Office, Washington, D.C. 20402, at \$2.00 pe year (50 cents additional for foreign mailing) or 40 cents per single copy. Subscriptions are available fo
a, a, or s-year periods. Free distribution is limited to

public officials actually engaged in planning or constructing highways and to instructors of highway engineering. There are no vacancies in the free list a present. Use of funds for printing this publication has been ap proved by the Director of the Bureau of the Budge March 16, 1966.

Contents of this publication may be reprinted. Mention of source is requested.

Comparison of Day and Night Gap-Acceptance Probabilities

Reported by ¹ NICHOLAS G. TSONGOS, Highway Research Engineer, and SIDNEY WEINER, Mathematician, Traffic Systems Division

BY THE OFFICE OF RESEARCH AND DEVELOPMENT BUREAU OF PUBLIC ROADS

Introduction

THE STUDY reported here was a part of a L project to explore the effects of headlight glare from opposing vehicles on the ability of drivers to perform nighttime highway visual tasks. Although a considerable number of studies have been concerned with descriptions of traffic flow at intersections, and especially with gap acceptance and rejection, a search of the literature indicates that no material about the behavior of drivers entering a major roadway from a stopped position at night has been published. The presence of opposing vehicle headlights is one of the most important factors that influence and determine the ability of the driver to see and perform in the night driving situation. The purpose of the study was not to examine the headlamp effect itself, but rather, to determine and compare the headway distributions and gap acceptance between day and night, as a result of the change in ambient illumination available to the driver at night.

Gap-Lag Acceptance, Definitions and Assumptions

• Gap is the elapsed time between the arrivals of successive main-street vehicles at an intersection. For minor-street vehicles entering the main street and turning right, conflicting with one traffic stream, the gap is formed by main-street vehicles traveling in the same direction. For minor-street vehicles entering the main street and turning left, conflicting with both traffic streams, the successive vehicle can be traveling either in the same direction or in the opposite direction.

• Lag is the elapsed time between the arrival of a minor-street vehicle at an intersection and the arrival of the next main-street car at the intersection.

• Arrival of a main-street vehicle at the intersection is the time at which the car enters the area bounded by two pneumatic tubes, installed for the study, at the extensions of the minor-street curb lines. Arrival of a minor-street vehicle at the intersection is the time



Vehicle X on the side, or minor, street will use a gap, T_1 , T_2 , or $T_{etc.}$, to enter the traffic stream on the main street. If it uses an oncoming gap to enter the main-street traffic, the gap is accepted. If it waits at the intersection as the gap passes by, the gap is rejected.

Of the many factors that influence the ability of the driver to see and perform the driving task at night, one of the most important is the effect of the headlight beams of other vehicles. In the study reported here, the headway distribution and gap-acceptance probabilities between day and night on an isolated, unlighted, suburban intersection were compared, and the driver's behavior as a result of the change in lighting conditions explored. The test for conditional homogeneity, an analytical method, and Raff's method, a purely graphical method, were used to analyze and compare the data obtained. Although no significant difference in the gap-acceptance probabilities were shown by the Raff method, the results obtained by the analytical method indicated that the gap-acceptance probabilities for day and night cannot be considered conditionally homogeneous, particularly for very short and long gaps. For the medium size gaps, the acceptance probabilities did not indicate significant d fferences.

It was shown in the study that there were no significant differences between day and night in the formation of the available gaps under light traffic-volume conditions; but as the volume increased, there was a higher percentage of longer gaps at night than during the day.

According to the authors, further experimentation will be necessary before it can be decided that the gap acceptance depends on lighting conditions.

¹ Presented informally to the Visibility Committee of the Highway Research Board at the 48th annual meeting, Wash-Ington, D.C., January 1969.



Figure 1.-Layout of detection tubes.

at which the car stops at the stop sign. If a minor-street car under consideration has to stop behind another car waiting to enter the intersection, its arrival is not the time that it stops, but the time that the other car, immediately ahead, enters the intersection.

• A gap is accepted if the minor-street, or side-street, vehicle crosses or enters between two main-street vehicles that form a gap. As a driver can reject any number of gaps but accept only one gap each time that he enters the intersection, the percentage obtained by using all the gaps rejected by each driver would not give a true indication of the proportion of drivers accepting gaps of a certain size, and the conclusions would be inaccurate. To overcome this inaccuracy, the number of rejections must be limited to one for each driver. Accordingly, two assumptions were made in the study: (1) A driver who accepts a given size gap for the same conditions can be expected to accept any gaps of greater length, and (2) a driver who rejects a given size gap can also be expected to reject any gaps of shorter length. (Only six instances in which driver accepted a shorter gap than he previously had rejected were recorded from a total of more than 1,200 gap and lag acceptances, day and night.)

• If the minor-street driver enters the intersection before the next main-street car reaches it, the lag is accepted. If he waits until the

.

main-street car has passed before entering the intersection, the lag is rejected.

• The concept of critical gap-lag was used as it was defined by M. S. Raff $(1)^2$ which is "the number of accepted lags shorter than the critical time lag is equal to the number of rejected lags longer than this specific value." In this article, the data for both gaps and lags have been combined and are referred to as gap-lag data.

Test Site and Collection of Data

The test site selected for the study was an isolated, suburban T type intersection of State roads (SR) 620 and 650 in Fairfax County, Va. Braddock Road, SR 620, a major 2-lane road, regularly attains a daily traffic volume of 16,000 vehicles and has an early evening hourly volume of 800–1,000 vehicles. Wake-field Chapel Road, SR 650, a minor 2-lane road regulated by a stop sign, attains a daily traffic volume of 3,000 vehicles and has an early evening hourly volume of 200–300 vehicles. At the site, both roads have unrestricted sight distances.

The traffic volume of the intersection, which is 1.35 miles from the nearest traffic signal, does not approach conditions of congestion, and consequently, the times of arrivation arrivation be considered to be independent.

Speeds of the main-street vehicles we 30-40 m.p.h. A graphic recorder with a chaspeed of 9 in. per min., or 0.15 in. per sec was wired to air switches and recorded in stantly the passage of each vehicle on Bracdock Road. The layout of the detection tub that actuated the air switches is shown figure 1. These pneumatic tubes were space 35 feet apart on Braddock Road at the enof the intersection curve to avoid false actuations by the turning vehicles.

A dead area of 4 feet-2 feet on either sid of the Braddock Road lane line-was pr vided between adjacent lanes. The line ar was wide enough to permit detection of vehicles in the lane, and small enough to elin inate false actuations by vehicles traveling adjacent lanes. As each vehicle was detected at the various locations on Braddock Roa, the corresponding pen was actuated to make characteristic mark on the moving tape. Thr pens, actuated manually by three pushbuttor, were used to record the vehicles enteriz Braddock Road. Whenever a minor-stre vehicle stopped at the stop sign, the mide pen was moved out of its normal position al held as long as the vehicle was rejecting the available gaps. As soon as the vehicle enter Braddock Road, the middle pushbutton ws

² Italic numbers in parentheses refer to the bibliography listed on page 165.



Figure 2.-Data collection, measurements of lags, gaps, and waits.



Figure 3.—Distribution of accepted and rejected gaps and lags, all turning movements.



Figure 4.—Distribution of accepted and rejected gaps and lag conflict with one traffic stream.

released; the pen consequently returned to the normal position, and the appropriate left or right pushbutton was given a quick tap to correspond with the respective left or right maneuver of the vehicle. For main-road vehicles making left turns into Wakefield Chapel Road, the same procedure was followed, except that only one pen showed the time that the vehicle was rejecting the available gaps.

The data collection and recording techniques are shown in figure 2. The role of each pen is indicated on a sample section of the chart, and the intersection drawing illustrates the position of the different vehicles as they are recorded on the chart.

Methodology

Test for conditional homogeneity

In the analysis it was assumed that each driver's decision to accept or reject a gap size is mutually independent and that the probability of accepting a given gap size is constant. Because of the insufficient number

of gap observations, the analysis was conducted on the joint set of observations of random gap and lag sizes. It was then further assumed that the driver's probability of accepting a given gap size is equal to that for the same lag size.

The independent random sets of gap-lag acceptances and rejections for daytime and nighttime lead to a series of sampled proportions as follows:

Gap-lag size, seconds:

and

$$\frac{1}{2} \quad \frac{2}{3} \qquad \frac{c}{2}$$
Day $\hat{P}_{11} \quad \hat{P}_{12} \quad \hat{P}_{13} \quad \dots \quad \hat{P}_{1e}$
Night $\hat{P}_{21} \quad \hat{P}_{22} \quad \hat{P}_{23} \quad \dots \quad \hat{P}_{2e}$

$$\hat{P}_{1j} = \frac{a_j}{a_j + r}$$

$$\hat{P}_{2i} = \frac{A_i}{A_i + R_i}$$

Where,

- $a_j =$ number of acceptances for the j^{th} gap-ug size in the daytime.
- r_i =number of rejections for the j^{th} gap is size in the daytime.
- $A_i =$ number of acceptances for the j^{th} gap ig size at night.
- R_j =number of rejections for the j^{th} gap ig size at night.

It is hypothesized that if a given gap 2^{e} is j then the underlying probabilities of up acceptance for day and night, P_{1j} and 2^{j} , are the same for all $j's - j = 1, 2 \ldots c$. This is the hypothesis of conditional homogeneous of the set of corresponding day and night gap-acceptance probabilities. Thus, if the hypothesis $P_{1j} = P_{2i}$ for all j's is true, it is meant that the day and night samples reconditionally homogeneous as opposed to being strictly homogeneous, which in a lition, would be:

$$P_{i1} = P_{i2} = \dots = P_{ic}$$
 for $i = 1, 2$

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In accordance with the procedure and notaion given in reference (2), the null hypothesis, H_2 , is tested against the alternate hypothesis, H_1 , where it is defined (for each j=1,2,3 \ldots c):

$$\mathbf{H}_1: P_{1jk} \neq P_{2jk};$$

$$\sum_{k=1}^{2} P_{ijk} = P_{ij.}; i = 1, 2$$

 $I_2: P_{1jk} = P_{2jk} = P_{*jk};$

$$\sum_{k=1}^{2} P_{*ik} = P_{*i1} + P_{*i2} = P_{*i}$$

Vhere,

$$\sum_{k=1}^{2} P_{.jk} = P_{.j.}$$

The null hypothesis, H_2 , states that there is onditional homogeneity, and H_1 states that he day and night samples have different cceptance k=1, and rejection, k=2, probailities among the gap-lag sizes, j.

This procedure is equivalent to a chiquare procedure, involving a set of 2×2 ontingency tables. However, the computaions here are much less arduous, especially then use is made of table $2n \log_e n$ given in efference (3).

The information component for a null ypothesis of conditional homogeneity, H_2 , which:

 $\frac{P_{ijk}}{P_{ij.}} = \frac{P_{*jk}}{P_{*j.}}$

 $i=1, 2; j=1, 2, \ldots c; k=1,2$

$$2\hat{I}$$
 (H₁:H₂) = 2 $\sum_{i=1}^{2} \sum_{j=1}^{e} \sum_{k=1}^{2} X_{ijk} \log \frac{X_{ijk}}{X_{ij}}$

Vhere,

 i_{ijk} is the number of observations for the j, \bar{k} category (see table 1), and where,

=1 represents day, i=2 represents night

=1, 2, . . . c represents gap-lag size category

=1 represents accepted category

=2 represents rejected category

Finally the gap marginal total for each ategory is given by the expression:

$$X_{i,i} = X_{1i,i} + X_{2i,i} = X_{i,i} + X_{i,i}$$

 $= X_{1i1} + X_{2i1} + X_{1i2} + X_{2i2}$

Table 1.-Test of conditional homogeneity between two samples¹

		G	ap size	
	j = 1	<i>j</i> =2		<i>j</i> =c
Day (i=1)	Seconds	Seconds		Seconds
Accept $(k=1)$ Reject $(k=2)$	$X_{111} \\ X_{112}$	$X_{121} \ X_{122}$		$X_{1c1} X_{1c2}$
Night $(i=2)$: Accept $(k=1)$. Reject $(k=2)$.	$X_{211} \ X_{212}$	$X_{221} \ X_{222}$		$X_{2c1} \ X_{2c2}$
MARGINAL TOT	ALS			
$\begin{array}{l} \text{Day}\; (X_{1i,} = X_{1i1} + X_{1j2}) \\ \text{Night}\; (X_{2j} = X_{2j1} + X_{2j2}) \\ \text{Accept}\; (X_{,j1} = X_{1j1} + X_{2j1}) \\ \text{Reject}\; (X_{,j2} = X_{1j2} + X_{2j2}) \end{array}$	$X_{11}, X_{21}, X_{11}, X_{11}, X_{12}$	$X_{12.}$ $X_{22.}$ $X_{.21}$ $X_{.22}$		X10. X20. X.01 X.02
MARGINAL TOT	ALS			
$\begin{array}{c} X_{,j,} = X_{1j,} + X_{2j,} \\ = X_{,j1} + X_{,j2} \end{array}$	X.1.	X.2.		X ,
TEST STATISTIC (DISTRIBUTE)	D AS χ^2 WI'	TH c d.f.)		
$2\hat{I}=2\sum_{i=1}^{2}\sum_{j=1}^{c}\sum_{k=1}^{c}\sum_{k=1}^{2}X_{ijk}\ln t$	$\frac{X_{ijk}}{\frac{X_{ij,K}}{X_{ij,K}}}$			



Figure 5.—Distribution of accepted and rejected gaps and lags, conflict with two traffic streams.



Figure 6.-Gap distribution, Braddock Road, 700-900 vehicles per hour.



Figure 7.-Gap distribution, Braddock Road, 901-1,100 vehicles per hour.

The statistic $2\hat{I}$ has the asymptotic distribution with *c* degrees of freedom und the null hypothesis of conditional hom geneity. Accordingly, in the second line table 1, X_{122} represents the occurring numb of rejections during the day for gap size j=and in the third line, X_{221} represents the occurring number of acceptances during to night for gap size j=2.

The entry X_{1j} in the table, under Margin totals, represents the total number of accept ances and rejections during the day for g_i size j:

Day marginal
$$X_{1i} = X_{1i1} + X_{1i2}$$

Similarly under *Marginal totals* are listing for night, accept, and reject:

Night marginal $X_{2i} = X_{2i1} + X_{2i2}$ Accept marginal $X_{.i1} = X_{1i1} + X_{2i1}$ Reject marginal $X_{.j2} = X_{1j2} + X_{2j2}$

In tables 2, 3, and 4 are summarized to gap-lag acceptance and rejection data in the different movements of the minor-strut vehicles—all data, conflicts with one trace stream, and conflicts with two traffic strear, respectively. The left turn of the minor-strut vehicle was defined as *conflict with two trac streams*, and both the right turn of the minstreet vehicle and the left turn of the majstreet vehicle were defined as *conflict with the traffic stream*.

Gap and lag size data were compiled ho five groups for each set of data and the hypoesis of conditional homogeneity was test according to the procedure shown in e bottom section of table 1.

Using the tables in reference (3), the statistic $2\hat{I}$ (H₁:H₂) may be easily calculated by expressing it as:

$$2\hat{I} = 2 \sum_{i=1}^{2} \sum_{j=1}^{c} \sum_{k=1}^{2} X_{ijk} \ln \frac{X_{ijk}}{(X_{ij} X_{,jk})/X_{,j.}}$$
$$= \sum_{i}^{2} \sum_{j=1}^{c} \sum_{k=1}^{2} 2X_{ijk} \ln X_{ijk} + \sum_{j=1}^{c} 2X_{,j.} \ln X_{,j.}$$
$$- \sum_{i=1}^{2} \sum_{j=1}^{c} 2X_{ij.} \ln X_{ij.} - \sum_{j=1}^{c} \sum_{k=1}^{2} 2X_{,jk} \ln X_{,jk}$$

The X_{ijk} 's in this formula are defined in table 1 as the random number of occurrences in the *i*, *j*, *k* category.

As $2n \ln n$ is tabulated in reference (3) for all integers from 1 to 10,000, the quantities in table 1 may be used as inputs to the tabulation, that is:

$$\sum_{j=1}^{c} 2X_{,j} \ln X_{,j} = 2X_{,1} \ln X_{,1} + 2X_{,2} \ln X_{,2} + \dots + 2X_{,e} \ln X_{,e},$$

$$+ \dots + 2X_{,e} \ln X_{,e},$$
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Table 2.—Analysis of gap-lag acceptance between day and night-all data

			Gap size		
in the second second	j=1 (2-3)	j=2 (4-5)	j=3 (6-7)	j=4 (8-9)	$\begin{vmatrix} j=5\\ (10+ over$
Day $(i=1)$: Accept $(k=1)$. Reject $(k=2)$. Night $(i=2)$:	Seconds 25 377	Seconds 120 262	Seconds 233 98	Seconds 210 24	Second 229
$\begin{array}{c} \text{Accept} (k=1) \\ \text{Reject} (k=2) \end{array}$	5 200	62 108	124 53	108 13	6
MARGIN	AL TOTA	LS			
Day $(X_{1j}\cdot)$ Night $(X_{2j}\cdot)$. Accept $(X \cdot_{j1})$. Reject $(X \cdot_{j2})$. Gap marginal total $(X \cdot_{j} \cdot)$	402 205 30 577 607	382 170 182 370 552	331 177 357 151 508	234 121 318 37 355	229 134 357 6 363
TEST OF CONDITI	ONAL HO	MOGENEI	ΓY		
$2I = 2 \sum_{i=1}^{2} \sum_{j=1}^{5} \sum_{k=1}^{2} X_{ijk} \ln \frac{X_{ijk} X_{j.}}{X_{ij.} X_{.jk}}$ $= \sum_{i} \sum_{j} \sum_{k} 2X_{ijk} \ln X_{ijk} + \sum_{j=1}^{5} 2X_{.j.} \ln X_{.j.} - 24,642.940 + 29,528.820 - 26,480.349 - 27,673.271 = 18.140$ $\chi_{5}^{2}(.05) = 11.0$	$\sum_{i} \sum_{j} 2$	$X_{ij.} - \sum_{j} \sum_{ij}$	$\sum_k 2X_{.jk} \ln$	X.jk	

taff's critical-lag comparison method

In addition to the technique just described, he method employed by Raff (1) was applied o the original data collected in the study to ompare the daytime and nighttime gap and ugs. Raff determined the critical lag by dotting two cumulative distributions on the ame graph, as shown in figures 3, 4, and 5.)ne curve describes the accepted number of ugs that were shorter than a given time iterval, and the other shows the rejected umber of lags that were longer than this iterval. The value of the critical lag was etermined as the point at which the two urves intersect on the chart.

Results of the Analysis

It was realized that the traffic volume ould affect gap-acceptance probabilities and hat if experimental data were collected under arying traffic volume conditions, the true ifferences between day and night effects ould be obscured. Therefore, to substantially egate the traffic volume effect, all the data ere divided into two groups according to raffic volume. One group was for a lighter

and the second s

Table 3.—Analysis of gap-lag acceptance between day and night—conflict with one traffic stream

			Gap size				
	j=1 (2-3)	j=2 (4-5)	j=3 (6-7)	j=4 (8-9)	j=5 (10 ⊢ over)		
Day $(i=1)$: Accept $(k=1)$ Reject $(k=2)$	Seconds 9 201	Seconds 64 106	Seconds 105 42	Seconds 110 12	Seconds 94		
Night $(i=2)$: Accept $(k=1)$ Reject $(k=2)$	97	26 37	4 4 9		44 2		
MARGIN	VAL TOTA	LS					
Day $(X_{1j.})$ Night $(X_{2j.})$ Accept $(X_{.j1})$ Reject $(X_{.j2})$ Gap marginal total $(X_{.j.})$	$210 \\ 97 \\ 9 \\ 298 \\ 307$	$170 \\ 63 \\ 90 \\ 143 \\ 233$	$147 \\ 53 \\ 149 \\ 51 \\ 200$	$ \begin{array}{r} 122 \\ 40 \\ 146 \\ 16 \\ 162 \end{array} $	94461382140		
TEST OF CONDITI	IONAL HO	MOGENEI	ΓY				
$2\hat{I}=2\sum_{i=1}^{2}\sum_{j=1}^{5}\sum_{k=1}^{2}X_{ijk}\ln\frac{X_{ijk}X_{j,i}}{X_{ij,X,jk}}$ $=\sum_{i}\sum_{j}\sum_{k}2X_{ijk}\ln X_{ijk}+\sum_{j=1}^{5}2X_{j,i}\ln X_{j,i}-\sum_{i}\sum_{j}2X_{ij,i}-\sum_{j}\sum_{k}2X_{,jk}\ln X_{,jk}$ $=9,233.211+11,207.837-9,963.192-10,463.211=20,441.048-20,426.403$ $=14.645$ $\chi_{5}^{2}(.05)=11.0$							



Figure 8.—Cumulative gap distribution, Braddock Road, 700–900 vehicles per hour.



Figure 9.—Cumulative gap distribution, Braddock Road, 901–1,100 vehicles per hour.

Table 4.—Analysis of gap-lag acceptance between day and night—conflict with two traffic streams

	Gap size						
	j=1 (2-3)	j=2 (4-5)	j=3 (6-7)	j=4 (8-9)	$\begin{vmatrix} j=5\\ (10+ \text{ over}) \end{vmatrix}$		
Day $(i=1)$: Accept $(k=1)$ Reject $(k=2)$ Night $(i=2)$: Accept $(k=1)$ Day $(k=1)$	Seconds 16 176 5 103	Seconds 56 156 36 71	S:conds 128 56 80 44	Seconds 100 12 72 9	Seconds 135 84 4		
MARGIN	NAL TOTA	LS					
$\begin{array}{l} \text{Day}\;(X_{1i})\\ \text{Night}\;(X_{2i})\\ \text{Accept}\;(X_{,i})\\ \text{Reject}\;(X_{,i})\\ \text{Gap marginal total}\;(X_{,i}). \end{array}$	$ \begin{array}{r} 192 \\ 108 \\ 21 \\ 279 \\ 300 \end{array} $	$212 \\ 107 \\ 92 \\ 227 \\ 319$	$ 184 \\ 124 \\ 208 \\ 100 \\ 308 $	$112 \\ 81 \\ 172 \\ 21 \\ 193$	$135 \\ 88 \\ 219 \\ 4 \\ 223$		
TEST OF CONDIT	IONAL HO	MOGENEI	ΓY				
$2\hat{l}=2\sum_{i=1}^{2}\sum_{j=1}^{5}\sum_{k=1}^{2}X_{ijk}\ln\frac{X_{ijk}X_{j,k}}{X_{ij,K}X_{j,k}}$							
$= \sum_{i} \sum_{j} \sum_{k} 2X_{ijk} \ln X_{ijk} + \sum_{j=1}^{n} 2X_{j} \ln X_{j} - \sum_{i} \sum_{j} 2X_{ij} - \sum_{j} \sum_{k} 2X_{jk} \ln X_{jk} $							
$\sim 12, 212, 334 + 15, 073, 199 - 13, 297, 202 - 13, 976, 583 = 11, 748$ $\chi^2_5(.05) = 11.0$							

Table 5.—Gap availability, Braddock Road—average number of cars per hour for specific traffic volumes 1

	D	ay	Ni	ght
Volume	Eastbound	Westbound	Eastbound	Westbound
Vehicles per hr. 700–800 \$01–1,100	171 336	333 328	$\begin{array}{c} 103\\ 316\end{array}$	$\frac{367}{392}$

⁴ Gap size included 1-20 seconds.

Table 6.—Gap distribution	percentiles, Braddock Road ¹
---------------------------	---

Percentile	700-900 vehic	700-900 vehicles per hr.		vehicles per hr.
	Day	Night	Day	Night
$\frac{50}{75}$	3.0 5.8 8.8	3. 2 6. 1 9. 2	2.6 4.8 8.0	3. 1 6. 2 9. 2

¹ Gap size included 1-12 seconds.

fable	7.—Gap-lag	size,	number of	acceptances	and	rejections-all data
--------------	------------	-------	-----------	-------------	-----	---------------------

		Seconds								Total		
	2	3	4	5	6	7	8	9	10	11	12	
Day: Accepted Rejected Total Night: Accepted Rejected Total	13 205 218 126 126	$ \begin{array}{c} 12\\ 172\\ 184\\ 5\\ 74\\ 79\\ \end{array} $	$ \begin{array}{c c} 42\\ 155\\ 197\\ 19\\ 62\\ 81\\ \end{array} $	78 107 185 43 46 89	$ \begin{array}{r} 104 \\ 50 \\ 154 \\ 47 \\ 26 \\ 73 \\ 73 \end{array} $	$129 \\ 48 \\ 177 \\ 77 \\ 27 \\ 104$	105 18 123 57 7 64	$ \begin{array}{c} 105 \\ 6 \\ 111 \\ 51 \\ 6 \\ 57 \end{array} $	82 	75 75 42 42	72 72 25 25	817 761 1,578 427 380 807

traffic volume of 700–900 vehicles per hou and the other was for a heavier traffic volum of 901–1,100 vehicles per hour. It is considered that each of these groups represente conditions under which gap-acceptance proabilities should be fairly uniform at night ' during the day.

In the 700-900 vehicle-per-hour group, reasonable agreement was evident in the dation time and nighttime gap distribution (figs. 6 and 8). In the 901-1,100 vehicle-per-hour group the distribution of the larger gaps was some what higher during the nighttime (figs. 7 and 9). Some numerical values of the gap distribution in the study intersection are listed tables 5 and 6, and the results of the collected data are shown in tables 7, 8, and 9.

In the analysis of the test of homogenei between day and night gap-lag acceptan distribution, it was found that $2\hat{I} = 14.645$ an 11.748, respectively, for the groups confl⁴ with one traffic stream and conflict with to traffic streams. For all the data combine, $2\hat{I} = 18.140$. The χ^2 value, with C(r-1)(r-=5 degrees of freedom and 5 percent levels 11.07. Therefore, statistical differences exist in the overall gap-lag acceptance distribution between the day and night environments at te test intersection.

However, a further exploration was may to detect the size-groups that contributed) the rejection of the hypothesis of homosneity. The test was applied to each size-groo separately, and it was found that groups j=1and 5 had $2\hat{I}$ values greater than the ² value, and that groups j=2, 3, and 4 had \flat significant difference that could be detect for a hypothesis of conditional homogenei. The $2\hat{I}$ values for each gap size-group, at the corresponding acceptance or rejection f the hypothesis, are shown in table 10.

The corresponding median acceptance-jection times were very close and, for ay practical purpose, can be considered to be te same (table 11). For all the data combini, the median day acceptance times were .3 seconds lower than those at night, where the rejection times were .15 seconds higher. comparison of the two movement-grous showed lower acceptance and rejection tins in both day and night gaps and lags for te group conflict with one traffic stream. A coparison of the acceptance times between dy and night showed that, although the differere is very small, the accepted and rejected tins at night were higher and lower respectivy for both movement groups.

The Raff method, mentioned previous, was used to obtain the critical gap and g values. For all the data combined, the critical day gap-lag was 5.4 seconds compared to 'e night value of 5.6 seconds. This same different, 0.2 sec., was evident between the day at night critical gap-lag, even though the values were somewhat higher for the group con/t with two traffic streams. Aside from the sull differences, the resultant values of the Riff method depend largely on the manner in while the curves are plotted on the data point; therefore, this method is a pure visual fitting technique.

Conclusions

The following conclusions, valid only for the intersection under consideration, were inferred from the findings of the reported field investigation:

• There were no significant differences in the formation of gaps between day and night, but it was noticed that, as the volume inincreased, a higher percentage of long gaps was present at night than during the day.

• Also there were no differences between the median gap-lag acceptance times. The overall median acceptance times for day and night were 7.29 and 7.32 seconds respectively; the overall median rejection times for day and night were 4.01 and 3.86 seconds respectively. • Night drivers accepted no gap or lag less than 3 seconds and rejected those higher than 10 seconds.

• Day drivers accepted no gap or lag less than 2 seconds and rejected those higher than 9 seconds.

• The overall critical night gap-lag, as defined, was 0.2 seconds higher than the day gap-lag.

• The statistical test used was based on the assumption that successive observations are independent in the probability sense. Because the $2\hat{l}$ values were greater than the χ^2 value, the gap acceptance distribution for day and night could not be considered conditionally homogeneous. However this was found to be true only for the very short and very long gaps—2–3 and 10–12 seconds. In the median size gaps, 4–9 seconds, the distribution of the gap acceptances was the same both during the day and at night.

• Further experimentation and analysis is necessary, especially for the short and long size gaps, before it can be determined that the results of day or night acceptance and rejection are dependent on time.

ACKNOWLEDGMENT

The authors wish to thank the following junior engineers for their assistance in collecting the data: Messrs. J. E. Bates, M. L. Essex, D. K. Heckman, J. B. Policelli, and W. J. Sageman. The authors also thank Mr. B. A. Porter, Electronic Development Technician, Traffic Systems Division, for his assistance in the use of the instrumentation.

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 Table 8.—Gap-lag size, number of acceptances and rejections—conflict-with-one-traffic-stream data

	Seconds											
	2	3	4	5	6	7	8	9	10	11	12	Total
Day: Accepted Rejected Total Night: Accepted Rejected Total	5 109 114 65 65	4 92 96 32 32	26 71 97 7 24 31	38 35 73 19 13 32	44 26 70 15 5 20	$ \begin{array}{r} 61 \\ 16 \\ 77 \\ 29 \\ 4 \\ 33 \\ \end{array} $	$53 \\ 10 \\ 63 \\ 17 \\ 2 \\ 19$	$57 \\ 2 \\ 59 \\ 19 \\ 2 \\ 21$	34 34 17 2 19	31 31 14	29 29 13 13	382 361 743 150 149 299

Table 9.—Gap-lag size, number of acceptances and rejections—conflict-with two-trafficstreams data

		Seconds								Total		
	2	3	4	5	6	7	8	9	10	11	12	
Day: Accepted Rejected Total	8 96 104	8 80 88	$\begin{array}{c} 16\\84\\100\end{array}$	40 72 112	$\begin{array}{r} 60\\ 24\\ 84\end{array}$		52 8 60	48 4 52	48 48	44	43	$\begin{array}{c} 435\\ 400\\ 835 \end{array}$
Night: Accepted Rejected Total	$61 \\ 61$	5 42 47	$ \begin{array}{r} 12 \\ 38 \\ 50 \end{array} $	24 33 57	32 31 63	48 23 71	$\begin{array}{c} 40\\ 5\\ 45\end{array}$	32 4 36	44 4 48	28 28	12 12	277 241 518

Table 10.—Test of the hypothesis of homogeneity for each gap-size group separately

Gap-size group	2Î Values								
and any group	<i>j</i> =1	j=2	j=3	j=4	j=5				
All data. Hypothesis ¹ Conflict with one traffic stream. Hypothesis ¹ . Conflict with two traffic streams. Hypothesis ¹ .	4.629 Rejected 6.961 Rejected 1.546 Accepted	1.351 Accepted 0.025 Accepted 1.786 Accepted	0.007 Accepted 2.922 Accepted 0.857 Accepted	0,021 Accepted 0,001 Accepted 0,007 Accepted	12, 132 Rejected 4, 508 Rejected 7, 552 Rejected				

 $^{1} X^{2}$ (.05) for 1 d.f. = 3.84.

Table 11.—Median acceptance-rejection times for gaps and lags combined

	Combined gap-lag median time									
Gap size-group	700-900 veh	icles per hr.	901-1,100 vel	nicles per hr.	All data					
	Day	Night	Day	Night	Day	Night				
Group I-Conflict with one traffic stream:	Seconds	Seconds	Seconds	Seconds	Seconds	Seconds				
Accepted Rejected Group II—Conflict with two traffic	7.04 3.63	$7.50 \\ 3.42$	7.35 3.74	$7.21 \\ 3.20$	7. 24 3. 78	7.30 3.29				
Streams: Accepted Rejected	7.17 4.32	7.47 4.21	7.47 4.29	7,62 4,24	7.33 4.28	7.53 4.23				
Accepted Rejected					7.29 4.01	7.32 3.86				

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Rapid testing on 6-inch silty-gravel compacted base course. Above left—Platebearing test using truck-mounted, hydraulically actuated equipment. Above right—Refraction seismographic test.

Quality Assurance in Highway Construction

BY THE OFFICE OF RESEARCH AND DEVELOPMEN BUREAU OF PUBLIC ROADS

Part 2— Quality Assurance of Embankments and Base Courses

Reported by THURMUL F. McMAHON, Principal Quality-Assurance Research Engineer, Materials Division

> This is the second part of an interpretative summary of the progress in Public Roads research program for the statistical approach to quality assurance in highway construction. Part 1.—Introduction and Concepts, was presented in the previous issue of PUBLIC ROADS. The remaining parts, to be presented in succeeding issues, are 3.—Quality Assurance of Portland Cement Concrete, 4.— Variations of Bituminous Construction, 5.—Summary of Research for Quality Assurance of Aggregate, and 6.—Control Charts.

Introduction

EMBANKMENTS and base courses, essentially, are structural elements of the highway and are amenable to the same treatment as any other structural element with respect to design, process control, and acceptance. Their function is to provide adequate support to the pavement within the design concepts of load applications.

Density Control

The engineer has learned that proper compaction is essential to the performance properties of soil and rock material. However, the uniformity of support is as important, if not more so, than the absolute magnitude of the support offered; therefore, the control of the compaction process is one of the most important aspects in base and embankment construction.

In the 19th Century, during construction of earth dams, it was discovered that the driving of livestock, particularly sheep, across lifts of soil, as they were placed, improved uniformity of support, increased stability, and decreased permeability of the completed structure. Although many improved methods of compaction and compaction control have evolved over the years from this crude beginnir, compaction control is still an item of majconcern to the highway engineer.

The first attempt toward scientific contil of the compaction process resulted from ty work of R. R. Proctor (1),¹ who developed the moisture-density relations still used a compaction specifications and control. He ab developed the Proctor Needle to control the uniformity of compaction. Later the overflovolumeter, sand-cone, and rubber-ballon methods were developed to aid in the densiv measurement of compacted materials. To newest, and probably the best methods f measuring moisture and density of compacti materials are those in which nuclear device are used.

The advent of nuclear equipment not or has provided a faster and better procedu

¹ Italic numbers in parentheses identify the referer⁶ listed on page 174.



igure 1.—Variation in density of embankments, California road-embankment study.

for measuring compaction but has resulted in a review of the methods and the precisions to be expected. Also, extensive studies are being made to develop better criteria than density for specifying and controlling compaction in the future.

Current practices

It has long been the custom to define desirable compaction as the degree of compaction that is above some lower limit set by engineering judgment and based on experience with various materials and performance requirements. This lower limit is described as percent of a maximum density determined in the laboratory for each type of soil to be encountered on a project.

Although most engineers have recognized that measurements of density are not absolutely reproducible in themselves, and that material variations in any embankment or base may be the rule rather than the exception, the extent of the density-measurement variations seldom has been determined. Because these variations have not been recognized, misunderstanding exists within the engineering profession and between engineers and nontechnical people. Engineers, as well as public agencies, have often been criticized when it was shown in subsequent test results that accepted embankments and base courses failed to meet minimum requirements even though no evidence of unsatisfactory performance existed.

A look at present specifications and compaction-measurement methods emphasizes the misunderstanding that exists. To develop measurement criteria, a series of laboratory compaction tests is run to establish the maximum density and optimum moisture content for each soil or base type. It is common practice to run one series of standard compaction tests for each material although it is fairly common knowledge that if a second series was run on another portion of the same material the results of the two tests might differ by several pounds per cubic foot. Frequently, the field technician uses density values established in the laboratory to determine percent compaction at the construction site by comparing the results of field tests with the laboratory-developed curves. He must make a judgment as to whether the type soil he has tested is the same as that for which a curve has been established. It is often apparent that his decision on which curve to use is based on density comparisons rather than on soil type comparisons. Present day construction methods further contribute to the difficulties of the technician, who seldom will encounter material in the field that is an exact duplicate of the material tested in the laboratory. Excavation and spreading of large quantities of materials nearly always result in mixtures of types or variations of type from spot to spot in the fill.

Not only are the methods of applying the test results difficult to rationalize, but the tests themselves are not reproducible to the extent necessary for exact measurement. Several years were futilely spent in comparing



CURVE NO.		2	3	4. (1997) 1997 - A. (1997) 1997 - A. (1997)	5
MINIMUM SPECIFICATION	98	98	95	90	90
COMPACTION TEST METHOD	PROCTOR E-11	PROCTOR E-11	AASHO T-99	CALIF. 216	CALIF, 216
AVERAGE COMPACTION	100.7	99.0	97. 7	92.9	93.6
STANDARD DEVIATION	5.0	1.8	1.9	2.4	5,5
APPROXIMATE % LESS THAN MINIMUM SPECIFICATION LIMIT	29,5	- 28,9	7,8	11.3	25.6

Figure 2.—Normal distribution curves from three organizations.

Table 1.-Percent relative compaction for different test methods

Compacted components		Sand cone		Pot	rtable nucl	lear	Roadlogger			
	Mean	$1 \sigma_{et}^2$	$^2 \sigma_0$	Mean	$1 \sigma_{st}^2$	2 σο	Mean	$1 \sigma_{\rm st}^2$	$^{2}\sigma_{0}$	
Embankments. Bases and subbases.	99. 1 98. 7	3.46 2.16	4.46 2.92	99.0 98.1	2, 25 1, 32	4. 55 2. 89	$100, 2 \\ 98, 0$	$2.17 \\ 0.60$	4. 44 2. 48	

 $1 \sigma_{st^2}$ Sampling and testing variance.

the results of nuclear measurements to those of conventional measurements. Only recently has it been demonstrated that the nuclear device is capable of producing more precise overall data than can be obtained by conventional methods. $^2\,\sigma_{\rm o}$ Overall standard deviation.

One major factor that influences the variation in conventional-density test results is the common practice of removing the larger particles, greater than 3/4 inch, from the samples tested in the laboratory. The effect of these larger particles on field results is estimated by empirical mathematical equatics and superimposed on the results of the labortory tests. Many laboratories realize to fallacy of this practice and are using large molds in their tests.

Sampling

Selective sampling by the inspector, offi as ordered by the engineer, has played an iportant part in the failure to recognize te magnitude of the actual variations occurris in embankment and base construction. Whi the inspector has the opportunity to select te test site, he has three alternatives: (1) of select an average condition, (2) to select to poorest condition, and (3) to select the bit ondition. The general custom in the State or he specific practice of the engineer on the job nay well determine the site he selects for test. Legardless of his choice, the results of his tests ill reflect only the condition he is selecting nd not the variability of results or the true verall level of compaction.

Valid measurements of the actual quality of he compaction can be made only if the sample a true representation of the total compacted naterial. It is possible to obtain a represenation of the entire mass only when the samling program is so designed that each element the mass has an equal chance of being one of the elements of the sample. Of course, the reater the number of elements sampled, the etter will be the representation.

The Statistical Approach

Although many questions concerning the equired level of compaction and the methods f obtaining it are still unanswered, almost veryone agrees that uniformity of support is reprincipal requirement of good embankment ad base-course construction. As a result of ecent measurements obtained in research, reneed for a change in methods of control as become apparent. Any such change must e directed toward controlling uniformity as cell as degree of compaction.

The use of statistical concepts to establish the requirements of specifications and to aid a the analysis of test data provides much of the needed improvement. The specification ther designates a target percent-compaction alue and the allowable variations about this alue or designates a lower limit to be met by given percentage of the construction, when valid statistical analysis of test results is erformed.

A statistically based specification requires at a contractor submit a *lot* of predetermined ze to the buyer for acceptance. Each *lot* is

able 2.—Average, range, and standard deviations of percent compaction of subgrade and subbase projects

Project	Average	Range of	Standard
	compaction	compaction	deviation
S-1 S-2 S-3 B-1 B-2 B-3	Percent 100, 6 96, 8 98, 2 89, 4 91, 7 93, 6	Percent 84-116 80-110 84-108 82-98 84-100 86-100	$\begin{array}{c} Percent \\ 5.3 \\ 5.7 \\ 4.5 \\ 3.3 \\ 3.1 \\ 2.3 \end{array}$

ble 3.—Average differences between sandcone density tests for replicate tests

Project	Replicates	Average difference between sand-cone density values for replicate tests
S-1 S-2 S-3 B-1 B-2 B-3	Number 48 49 51 55 50	Lb. per cu. ft. 3.32 4.95 4.18 4.15 3.35 2.24



Figure 3.—Soil aggregate base, percent compaction.



Figure 4.—Selected soil subbase, Class 4, percent compaction.

 Table 4.—Maximum dry density and optimum moisture content values for duplicate field samples for Project S-1

Test number	Maximum dry density	Optimum moisture content	Liquid limit	Plasticity index	AASHO classifi- cation
27 A 27 B 32 A 32 B 34 A 34 B 36 A 36 B 37 A 37 B 38 A 38 B	$ \begin{array}{c} Lb. \ per \ cu. \ ft. \\ 118. \ 7 \\ 119. \ 3 \\ 117. \ 4 \\ 115. \ 8 \\ 113. \ 5 \\ 110. \ 6 \\ 123. \ 0 \\ 119. \ 7 \\ 121. \ 2 \\ 122. \ 6 \\ 112. \ 6 \\ 113. \ 5 \end{array} $	$\begin{array}{c} Percent \\ 13.7 \\ 13.5 \\ 14.4 \\ 15.4 \\ 15.4 \\ 14.3 \\ 13.4 \\ 13.3 \\ 12.6 \\ 11.0 \\ 17.3 \\ 15.9 \end{array}$	$\begin{array}{c} 32.5\\ 36.0\\ 33.9\\ 34.6\\ 41.5\\ 41.6\\ 30.2\\ 31.0\\ 28.1\\ \hline \\ 41.3\\ 37.6\\ \end{array}$	$13.5 \\ 15.2 \\ 14.3 \\ 13.9 \\ 18.4 \\ 16.9 \\ 12.3 \\ 11.9 \\ 8.6 \\ \hline 17.6 \\ 14.6 \\ 14.6 \\ 11.5 \\$	$ \begin{array}{c} A-6 & (8) \\ A-6 & (9) \\ A-6 & (6) \\ A-7-6 & (11.5) \\ A-7-6 & (9) \\ A-7-6 & (9) \\ A-6 & (7) \\ A-6 & (8) \\ A-4 \\ \hline A-7-6 & (11) \\ A-6 & (9) \\ \end{array} $



Figure 5.—Embankment, percent compaction.



Figure 6.—Embankment, percent moisture.

evaluated on the basis of the results of a specified sampling and testing program. This program entails the performance of a specified number of standard tests at random locations on each *lot* submitted. The data analysis procedure to establish compliance and the steps to be taken if noncompliance is indicated are also spelled out.

Several States have developed specifica-

tions for embankment or base construction that are great improvements over present methods and are based partly on statistics, even though they are not strictly in accordance with concepts recommended in this series of discussions.

Virginia, for example, is using a control strip technique for control of the compaction of aggregate base. The following special provisions were extracted from a paper (2 presented at the 46th Annual Meeting of th Highway Research Board:

"Virginia Department of Highways Special Provisions For Nuclear Field Density Testing Aggregate Base and Surface Courses

"Section 308 of the 1966 edition of the Roc and Bridge Specifications is amended in th contract to require the construction of densi control strips for the purpose of using the nucle field density testing device. The revisions are follows:

"At the beginning of the work the Contract shall build a control strip of the material on a approved and stable subgrade for the purpose the Engineer's determining density requirement for the project. This control strip will be at least 400 square yards in area and of the same material and depth to be used in the remainder of its work. Compaction will be carried out with coventional rollers approved by the Engineer unit no appreciable increase in density is accoplished or until in the opinion of the Engine no appreciable increase in density will be optimized the rolling, the density of the strip will be detmined by use of a portable nuclear test device.

"The compaction of the remainder of the agggate base course material shall be governed by e density of the control strip. The material shalle tested by sections of approximately 2,800 sque yards each. The mean density of 5 randomy selected sites from the test section shall be t least 98 percent of the mean density of 10 tes taken from the approved control strip. Placin, compacting and individual testing may be dee in subsections of approximately 280 square yass each. When the mean of the test section is Is than 98 percent of the control strip mean e Contractor may be required to rework the enve section. Also, each individual test value shalle at least 95 percent of the mean value of the ctrol strip. When an individual test value is lis than 95 percent of the control strip mean, e contractor shall be required to rework the aa represented by that test.

"Each test section shall be tested for thickness and any deficiency outside the allowable tolerare shall be corrected by scarifying, placing acitional material, remixing, reshaping and recapacting to the specified density.

- "A new control strip may be requested what
 - (1) A change in the source of the mater is made, or
 - (2) a change in the material from the sur source is observed, or
 - (3) ten (10) test sections have been approx without the construction of additival control strips.

"Note: The Contractors' attention is dire a to the fact that the method for determining deniy and the requirements for density as describe." Section 308.05 have been replaced by the merid of determination and requirements for deniy stated hereinabove."

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vigure 7.—Frequency histograms—percent compaction of subgrade materials for three projects.



Figure 8.—Frequency histograms—percent compaction of subbase materials for three projects.

leliability of nuclear testing

The Virginia specification is an excellent xample of the more rapid methods that can be used to allow the testing of a more repesentative sample of completed work. The nereased number of test results available for making a decision assures a higher confidence the decision. The following advantages re claimed by the Virginia Department of lighways:

• Nuclear tests can be made quickly and asily.

• A field control strip provides a practical achievable density.

• The speed of nuclear testing permits determination to be made for each section of material. This procedure provides a sound statistical basis for decisionmaking.

The reliability of nuclear-gage test results is substantiated by tests made in a number of States. For example, the data in table 1 are from two studies in Utah (3, 4). The absolute values of the standard deviations presented in the table have little significance with respect to testing variability because much of the indicated variation is probably caused by actual density variations. However, it is significant that the sampling and testing variance is smaller and that there is no significant difference in the means. The results of the nuclear tests are as good, if not better, than those of the conventional tests; consequently, it can be stated safely that the testing error of nuclear methods is probably no greater than that of conventional methods.

Reported variations in compaction

The variation in density of accepted embankments and bases has been found much greater than had been expected when the Public Roads research program (see part 1 of this article, Feb. 1969 issue) was initiated. Because of this variability, compliance with specifications, as computed by statistical methods, is lower than had been expected. Therefore, designers must judge whether present construction is sufficient for their purposes. If present construction is satisfactory, then specifications should be changed to allow for the existing variation. If better construction is needed, then it is important that specifications and methods be changed to assure better uniformity in embankments and base courses.

Research is showing that overall standard deviation, a measure of variability, is not in itself a true indication of contractor-performance variability. A good contractor may take the same care in constructing two embankments but the variability of test results may be much greater in one than in the other. If the composition of the material itself is more variable, then the results of the compaction process are also going to be more variable.

The variation of density in embankments, with respect to material and process changes, is shown in figures 1 and 2. Figure 1, extracted from a California report (5), presents the distributions of the results of density tests on three projects. Project No. 1 was constructed with homogeneous, fine grained soils: Project No. 3 with an extremely heterogeneous soil; and Project No. 2 with a soil of intermediate variability, with respect to the other two. The specification on each of the projects stipulated that the material be compacted to no less than 90 percent relative compaction. It has been shown by many of the research test results obtained after acceptance by normal control procedures, that the construction does not meet specification requirements when the data are analyzed on a statistical basis and the total material is considered.

Figure 2, also from the California report, is presented to show that variability of compaction test results is not unique to the highway industry.

Figures 3 and 4 have been extracted from an Alabama Research Report (6) to show indieated variation in density of compacted base and subbase materials. The standard deviations of 4.06 and 2.31 percent are in line with values reported by other States. Figures 5 and 6 are from the same report; the data reported



Figure 9.—Percent compaction—research data.

Figure 10.—Percent compaction—highway-department data

by California for compacted densities of embankment materials are corroborated by the data in figure 5, and variations in moisture content are shown in figure 6. The large variation in moisture content is probably a major cause of the large variation in density.

From a research study performed by Purdue University for the State of Indiana in March 1967 (7), information concerning average density, range, and standard deviation are shown in table 2 for three subgrade and three subbase projects. The data for the study were obtained after the projects had been accepted under normal acceptance procedures. The specifications for the projects required a minimum density of 100 percent of standard laboratory maximum density.

The wide ranges of results and large standard deviations reported in table 2 are, in part, due to variability contributed by test methods. The differences between replicate sand cone density tests on the study projects are shown in table 3. The entire difference cannot be attributed to test error as there may be actual differences in the materials or densities even when the tests are taken side by side as was done in this study. However, the results show the magnitude of differences when an effort was made to eliminate material differences within the limitations of practical construction cenditions.

Another contributing factor to the variation of test results is the difference in results of laboratory maximum-density and optimummoisture-content tests. These differences for duplicate samples from Project S-1 of the Purdue University study are shown in table 4.

The data obtained in the Purdue University study are shown in figures 7 and 8 which are histograms of the percent compaction for the six projects.

Figures 9 and 10 were extracted from a report of a study conducted by the Engineering Experiment Station of North Dakota State University for the North Dakota State Highway Department (8). In figure 9 is shown the variability of compaction in three embarment projects previously accepted uncr current control and acceptance procedur. The distribution of test results on rando samples are presented in the histograms in fe figure. The mean $\overline{\mathbf{X}}$; the overall stand: 1 deviation, σ ; and the sampling and tests standard deviation, σ_{α} , of the distributions xtabulated. These standard deviations must e changed to variances in order to obtain te relationship between the material and e sampling and testing variability, $\sigma^2 - \sigma^2_{\alpha} = \sigma_2$. In figure 10, the information obtained durg routine control and acceptance testing on "e same three projects is presented. Compariso of the results presented in figures 9 and 0 emphasizes the advantages of random soupling in determining the true as-built contions of any construction project and compance with specifications.

Density-test results obtained with to types of nuclear gages and two different test methods on Project No. 1 of the Noh Dakota study are shown in figure 11. These lata substantiate the results of the Utah eport in that the sampling and testing errors or the nuclear devices are smaller than those or the water-balloon method (fig. 9). It is of nterest that the air-gap method indicates a igher average density than the waterpalloon or contact-nuclear method. A similar nuclear study was performed on Project 2 with parallel results. In these tests, the manfacturer's calibration curves for the nuclear levices were verified before use.

Variations in Material Properties

Tables 5 and 6 were extracted from a alifornia report (9) to show the variation f test results other than those of density ests. The data are from six projects selected s typical of material used for untreated base nd subbase by the California Division of lighways. Again the data were obtained from andom samples taken after the materials ad been accepted as complying with the pecifications for normal sampling methods. hese materials were largely in substantial ompliance with the specifications; however, here was considerable variation in the test esults of the material properties, which may ccount for some of the variations in density nd supporting capacity exhibited by the ompacted material. The study did not clude the determination of density variation f the in-place material.

Conclusions

The primary conclusion that can be drawn om the data presented in this discussion is lat test results on base and embankment laterials exhibit significant variation. These ariations can be attributed to material varince, sampling variance, and testing variance. fany materials may be classified out-of-specication because of sampling and testing errors lither than failure of the material or conruction to actually conform to specified equirements.

It should be apparent that improvement of impling and testing methods must be a priity research and development item if field easurements on samples are to be used to cept construction materials and structures. lore tests results must be used in the decision 'ocess to increase the validity of decisions. apid sampling and testing methods, together ith random sampling and statistically valid cision plans, will alleviate many of the oblems in current acceptance of construction. The data and charts of this presentation early indicate a difference between the test sults on random samples and those on reprentative samples. A true estimate of the tual quality of any material or construction n be obtained only when every item of the has a chance of being chosen as part of the mple. Sampling by choice cannot provide mples that will permit evaluation of both vel and variability of material or construcon. Randomizing sampling locations is a

simple matter and should cause no serious problems for the inspector, especially when rapid nondestructive test methods, such as the nuclear gage, are available. State highway departments should take immediate steps to implement random sampling in the control and acceptance of base and embankment construction.

The data reported here concerning the variations in base and embankment construction should not be taken as an indictment of present construction. Although there is adequate information to indicate that improvement is needed in the testing and analysis of data, there is no specific information available to indicate that construction being accepted



Figure 11.—Percent compaction—nuclear-instrument data.

Table 5.-Summary of test results, untreated aggregate base

Test	1 77	$\frac{2}{X}$	³ σ	Range of results	Specification requirement	Amount not complying with specification				
PROJECT B-1										
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $										
PROJECT B-2										
R value. Sand equivalent. Percent passing #4 sieve Percent passing #30 sieve. Percent passing #200 sieve.	200 200 200 200 200 200	79,930,658,127,37,9	2.4 6.1 2.8 2.3 1.1	72-8524-6351-6722-364-10	4 75 4 30 35-65 (⁵) 3-12	$\begin{array}{r} 2.5\\ 56\\ 2.5\\ \end{array}$				
	Р	ROJECT	В-3							
R value. Sand equivalent Percent passing #4 sieve Percent passing #30 sieve. Percent passing #200 sieve.	200 200 200 200 200	$\begin{array}{c} 79.7\\59.2\\52.7\\23.4\\4.6\end{array}$	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$78-83 \\ 48-68 \\ 40-71 \\ 15-31 \\ 3-12$	4 78 4 30 35–55 10–30 3–9	$\begin{array}{c} 0 \\ 0 \\ 33 \\ 0.5 \\ 0.5 \end{array}$				

n = Number of samples

 \overline{X} = Arithmetic mean.

 $\sigma =$ Standard deviation. Minimum.



Table 6.—Summary of test results, untreated aggregate subbase

Test	1 n	$2 \overline{X}$	³ σ	Range of results	Specification requirement	Amount not complying with specification					
PROJECT S-1											
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$											
	P	ROJECT	S-2								
R value Sand equivalent Percent passing #4 sieve Percent passing #200 sieve	188 188 188 188	77.236.272.610.0	3.1 8.5 6.5 1.8	$\begin{array}{r} 66-83\\ 14-69\\ 60-91\\ 5-16\end{array}$	$ \begin{array}{r} 4 & 60 \\ 4 & 25 \\ 30-100 \\ 0-20 \\ \end{array} $	$\begin{array}{c} 0\\ 2. \ 0\\ 0\\ 0\end{array}$					
PROJECT S-3											
R value	200 200 200 200	70,929,245,08,6	$8.9 \\ 2.7 \\ 6.6 \\ 1.7$	$\begin{array}{r} 42 - 84 \\ 21 - 37 \\ 23 - 57 \\ 4 - 12 \end{array}$		$\begin{array}{c} 6.5 \\ 4.5 \\ 4.5 \\ 1.0 \end{array}$					

! n = Number of samples.

 $^{2}\overline{X}$ = Arithmetic mean.

 $\sigma =$ Standard deviation.

'Minimum,

under present procedures is not performing to design expectations. However, if economiconsiderations do not allow an intensive effor to reduce sampling and testing variation, a well as actual variation in density and mois ture content, it is imperative that recognition be given the variations occurring in presen construction and that current specification be revised accordingly.

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IMPROVEMENT STATUS OF SYSTEM MILEAGE AS OF DECEMBER 31, 1968

										TABLE I
	PRELIMINARY	WORK IN PROGRESS			OPEN TO TRAFFIC					
STATE	STATUS OR NOT YET IN PROCRESS <u>1</u> /	ENGINEERING OR RIGHT-OF- WAY	UNDER CONSTRUCTION	TOTAL UNDERWAY	TOLL FACILITIES	IMPROVED TO STANDARDS ADEQUATE FOR PRESENT TRAFFIC	COMPLETED TO FULL OR ACCEPTABLE STANDARDS	TOTAL OPEN TO TRAFFIC	TOTAL DESIGNATED SYSTEM MILEAGE	STATE
ALABAMA ARIZONA ARKANSAS CALLFORNIA	19.2 5.9 108.8	211.2 162.2 41.9 412.8	181.h 218.3 109.7 304.2	392.6 380.5 151.6 717.0	10.2	141.1 240.8 4.3 304.4	343.7 545.0 363.0 1,1.33.3	484.8 785.8 367.3 1,447.9	896.6 1,172.2 518.9 2,273.7 <u>2</u> /	ALABAMA ARIZONA ARKANSAS CALLFORNIA
COLORADO CONNECTICUT DELAWARE FLORIDA	158.6 51. 6 271.2	112.2 23.1 9.4 304.2	60.4 11.2 2.1 111.7	172.6 34.3 11.5 415.9	16.4 14.3 44.8	112.8 47.3 0.9	531.9 197.5 13.9 681.6	644.7 261.2 29.1 726.4	975.9 347.1 40.6 1,413.5	COLORADO CONNECTICUT DELAWARE FLORIDA
GEORGIA HAWAII IDAHO ILLINOIS	38.8 11.6 118.8	29 5 .0 22.4 133.7 291.9	162.9 5.7 80.8 232.2	457.9 28.1 214.5 524.1	- - 155.7	6.9 1.6 96.3 143.0	643.6 10.5 300.8 780.8	650.5 12.1 397.1 1,079.5	1,147.2 51.8 611.6 1,722.4	CEORGIA HAWAII IDAHO ILLINOIS
INDIANA Lowa (Ansas CENTUCKY	14.0 74.8 19.6 -	197.6 138.9 80.5 153.4	141.8 52.4 70.1 107.9	339.4 191.3 150.6 261.3	156.9 3.6 185.9 39.2	15.4 - 0.3 4.2	603.4 514.1 464.1 433.9	775.7 517.7 650.3 477.3	1,129.1 783.8 820.5 738.6	INDIANA IOWA KANSAS KENTUCKY
COUISIANA MAINE MARYLAND MASSACHUSETTS	30.0 1.7 25.2 19.0	186.3 32.7 7.2 31.2	179.6 1.9 30.5 31.3	365 .9 34.6 37.7 62.5	58.0 53.0 134.4	6.4 99.4 70.9 27.4	301.0 118.3 173.3 223.7	307.4 275.7 297.2 385.5	703.3 312.0 360.1 467.0	LOUISIANA MAINE MARYLAND MASSACHUSETTS
CICHICAN CINNESOTA CISSISSIPPI CISSOURI	92.6 9.4 26.6	165.0 240.4 125.6 258.6	25.9 210.8 85.4 34.2	190.9 451.2 211.0 292.8	4.8 - 0.3	44.4 30.3 19.2 160.8	841.1 422.5 448.1 665.4	890.3 452.8 467.3 826.5	1,173.8 913.4 678.3 1,145.9	MICHIGAN MINNESOTA MISSISSIPPI MISSOURI
iontana Tebraska Tevada Tew Hampshire	24.6 1.9 11.3	465.3 72.8 128.7 25.3	101.8 31.8 32.5 7.6	567.1 104.6 161.2 32.9	0.2 22.0	301.8 13.6 5.3 14.8	292.5 359.2 368.1 13 ⁴ .1	594.3 373.0 373.4 170.9	1,186.0 479.5 534.6 215.1	MONTANA NEBRASKA NEVADA NEW HAMPSHIRE
IEW JERSEY IEW MEXICO IEW YORK IORTH CAROLINA	53.0 37.5 152.4 67.2	87.7 185.5 44.0 195.4	58.3 91.7 81.2 108.3	146.0 277.2 125.2 303.7	46.3 491.8	26.4 61.1 53.3 17.0	113.5 622.6 532.5 449.3	186.2 683.7 1,077.6 466.3	385.2 <u>3</u> / 998.4 1,355.2 837.2	NEW JERSEY NEW MEXICO NEW YORK NORTH CAROLINA
IORTH DAKOTA JHIO KLAHOMA JREGON	62.6 12.3 9.3 19.2	38.8 162.1 53.9 65.5	77.2 226.9 144.4 2.5	116.0 389.0 198.3 68.0	206.4 174.1	51.9 55.0 23.3 111.2	340.3 871.4 401.7 537.7	392.2 1,132.8 599.1 648.9	570.8 1,534.1 806.7 736.1	NORTH DAKOTA OHIO OKLAHOMA OREGON
ERNSYLVANIA RODE ISLAND OUTH CAROLINA OUTH DAKOTA	39.1 27.9 73.7	115.9 9.1 92.2 161.4	275.6 14.0 196.1 93.2	391.5 23.1 288.3 254.6	360.2	8.3 10.9 15.1 60.3	781.6 36.8 378.7 364.3	1,150.1 47.7 393.8 424.6	1,580.7 98.7 755.8 679.2	PENNSYLVANIA RHODE ISLAND SOUTH CAROLINA SOUTH DAKOTA
ENNESSEE EXAS TAH ERMONT	7.5 139.0 50.8	262.1 559.3 374.0 116.2	150.7 395.9 208.0 31.2	412.8 955.2 582.0 147.4		90.5 285.9 22.6 4.4	534.3 1,786.1 277.7 168.6	624.8 2,072.0 300.3 173.0	1,045.1 3,166.2 933.1 320.4	TENNESSEE TEXAS UTAH VERMONT
IRGINIA ASHINGTON EST VIRGINIA ISCONSIN	9.8 76.8 29.5 105.5	216.5 125.5 158.6 1.7	158.6 79.5 54.4 39.2	375.1 205.0 213.0 40.9	37.6 87.2	44.9 196.0 0.3 24.7	600.8 276.9 184.7 392.1	683.3 472.9 272.2 416.8	1,068.2 754.7 514.7 563.2	VIRGINLA WASHINGTON WEST VIRGINLA WISCONSIN
YOMING ISTRICT OF COLUMBIA PENDING	82.4 9.9 40.2 <u>4</u> /	76.3 7.9	101.2 1.7	177.5 9.6	-	30.3 2.9	623.8 7.2	654.1 10.1	914.0 29.6 40.2 <u>4</u> /	WYOMING DISTRICT OF COLUMBIA PENDING
TOTAL	2,240.8	7,439.1	5,215.9	12,655.0	2,303.3	3,109.9	22,191.0	27,604.2	42,500.0	TOTAL
	5%	18%	12%	6%	7%	<u>ا</u>	52%			7
	ENGINEERING OR RIGHT-OF-WAY IN PROGRESS UNDER CONSTRUCTION TOLL ADEQUATE PRESENT TRAFFIC COMPLETED TO FULL OR ACCEPTABLE STANDARDS									
PRELIMINARY STATUS OR NOT YET IN PROGRESS TOTAL OPEN TO TRAFFIC										
Includes all routes and route segments added to the system under the 1,500 mile expansion authorized by the Federal-Aid Highway Act of 1968. Excludes the 17.2 mile Century Freeway (I-105) which was added to the system under the "Howard Bill." Excludes the 34.4 mile Trenton-Asbury Park Spur (I-195) which was added to the system under the "Howard Bill." Excludes the 34.4 mile Trenton-Asbury Park Spur (I-195) which was added to the system under the "Howard Bill." Consists of mileage which has not been assigned to any specific route and is a reserve for final measurement of the system.										



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